FORM PTO-1390) (REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

520.46379X00

U.S. APPLICATION NO. (Finogr. @ 37 CFR 1.5)

13	<b>ITE</b>	RNA	TIO	NAL	APPL	ICAT	N MOI	Ō.
_								

ATTORNEY'S DOCKET NUMBER

	2005/004602	March 16, 2005	March 19, 2004			
TITLE OF INVENTION  Method of Friction Stir-Spot Welding and Welding Apparatus						
APPLICANT(S) FOR DO/EO/US Satoshi HIRANO Kinya AOTA Masahisa INAGAKI Kazutaka OKAMOTO Tomio ODAKURA						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1. 🛛	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.					
2. 🔲	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
3. 🖾	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. 🛛	The US has been elected (Article 31).					
5. 🗌	A copy of the International Application as filed (35 U.S.C. 371(c)(2)))  a.  is attached hereto (required only if not communicated by the International Bureau).  b.  has been communicated by the International Bureau.  c.  is not required, as the application was filed in the United States Receiving Office(RO/US)					
6. 🛚	<ul> <li>An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>a.</li></ul>					
7. 🗆	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a.  are attached hereto (required only if not communicated by the International Bureau).  b.  have been communicated by the International Bureau.  c.  have not been made; however, the time limit for making such amendments has NOT expired.  d.  have not been made and will not be made.					
8. 🗌	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. 🛛	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. 🗌	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:						
11. 🛛	An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.				
12. 🛛	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. 🔲	A preliminary amendment.					
14. 🔲	An Application Data Sheet under 37 CFR 1.76.					
15. 🔲	A substitute specification.					
16. 🗌	A power of attorney and/or address letter.					
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.					
18. 🔲	A second copy of the published international application under 35 U.S.C. 154(d)(4).					
19. 🔲	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					
20. Other items or information: Figs.: 1-10; Request for Examination and Search; PCT Attachment; Credit Card						

## IAP9 Rec'd PCT/PTO 1 8 AUG 2006

U.S. APPLICATION NO. 100 G SQ 7 BR 1.5)  Not Oct 25 grids  Not Oct				ATTORNEY'S DOCKET NU 520.46379X00	ATTORNEY'S DOCKET NUMBER 520.46379X00	
The following fees have been submitted	:			CALCULATIONS	PTO USE	
21. 🗷 Basic national fee \$300				\$300.00		
22. Examination fee If International preliminary e provision of PCT Article 33( All other situations	\$200.00					
23. Scarch fee Scarch fee (37 CFR 1.445(a)) to the USPTO as an Internati International Search Report p All other situations	\$400.00					
	TOTAL OF 2	1, 22 and 23 =	· · · · · · · · · · · · · · · · · · ·	\$900.00		
Additional fee for specification listing or computer program lisadditional 50 sheets of paper of	sting filed in an	electronic medium). The fe		\$0.00		
Total Sheets Extra Sheets		each additional 50 or frac o a whole number)				
Surcharge of \$130.00 for furnishing from the earliest claimed priority da			X \$250.00 nonths	\$0.00		
CLAIMS NUMBE		NUMBER EXTRA	RATE		1	
Total Claims	14 - 20 =	0	x \$50.00	\$0.00	1	
dependent Claims	3 - 3 =	0	x \$200.00	\$0.00		
ULTIPLE DEPENDENT CLAIMS	S(S) (if applic	able)	+ \$360.00	\$0.00		
		OTAL OF ABOVE		<del>-   -</del>		
Applicant claims small entity s are reduced by ½.	\$0.00					
			SUBTOTAL =	\$900.00		
Processing fee of \$130.00 for furnis from the earliest claimed priority da			30 months	\$0.00		
-			NATIONAL FEE =	\$900.00		
Fee for recording the enclosed assign accompanied by an appropriate cover	nment (37 CF er sheet (37 C	FR 1.21(h)). The assignm FR 3.28, 3.31). <b>\$40.00</b> p	nent must be per property +	\$40.00		
		TOTAL F	FEES ENCLOSED =	\$940.00		
				Amount to be refunded:	\$	
				Amount to charged:	s	
a. ☐ A check in the amount of \$ to cover the above fees is enclosed.  b. ☐ Please charge my Deposit Account No. 01-2135 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposition Account No. 01-2135. A duplicate copy of this sheet is enclosed.  d. ☒ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  CUSTOMER NO. 020457  ANTONELLI, TERRY, STOUT & KRAUS, LLP  William 1. Solomon						
1300 North 17th Street, Suite 1800 Arlington, VA 22209			Name 28,565 Registrat	on No	<del></del>	
<u>.</u>			Registrat	OII 140.		

RM PTO-1390 (REV 10-2003) page 2 of 2

10/589988 dPCT/PTO 18 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

HIRANO, et al.

Serial No.:

Not Yet Assigned

Filed:

August 18, 2006

Title:

Method of Friction Stir-Spot Welding and Welding Apparatus

## **REQUEST FOR EXAMINATION AND SEARCH**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 18, 2006

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (520.46379X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRX, STØUT & KRAUS, LLP

William I. Solomon

Registration No. 28,565

WIS/aam 1300 North Seventeenth Street Suite 1800 Arlington, VA 22209 Telephone: (703) 312-6600

Fax: (703) 312-6666

## ATTACHMENT

International Application No.	International Filing Date	Attorney Docket No.	
PCT/JP2005/004602	March 16, 2005	520.46379X00	

- 1. International Publication No. \*\*\*A-1 Cover Sheet
- 2. PCT Request (in Japanese);
- 3. Notification of Receipt of Record Copy;
- 4. Notification Concerning Submission or Transmittal of Priority Document
- 5. Notification Concerning Transmittal of Copy of International Application as Published or Republished
- 6. International Search Report (in English and Japanese)
- 7. PCT/ISA/202 (in Japanese)